

Risk on a Page

Risk Title : Deprivation of Liberty

Risk ID : 132

Description : If the Local Authority fails to meet its statutory responsibilities to meet the legal requirement in relation to Deprivation of Liberty across Child and Family and Adult services, the Local Authority would be at risk of legal challenges and compensation claims.

Risk Level : Directorate

Responsible Officer : Amy.Hawkins

Councillor : Louise Gibbard

Last Update : 07/10/2022

Historical RAG :

Oct-21	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-22
RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED

Current Control Measures

Deprivation of Liberty Safeguards (DoLS) ; Prioritise DoLS applications, targeting the most risky cases, and continue to use an external agency to ensure applications are processed in a timely manner reducing the risk of unauthorised deprivations, until the new LPS legislation comes into force.

Last Update

04/05/2022

Risk Response

Treat

Projected Completion

31/10/2022

Child and Family Deprivation of Liberty (DoLO) ; Identifying those who need a DoLO, prioritising DoLO applications targeting the most risky cases, and sourcing support to ensure applications are made in a timely manner, reducing the risk of unauthorised deprivations until the new LPS legislation comes into force.

04/05/2022

Treat

31/10/2022

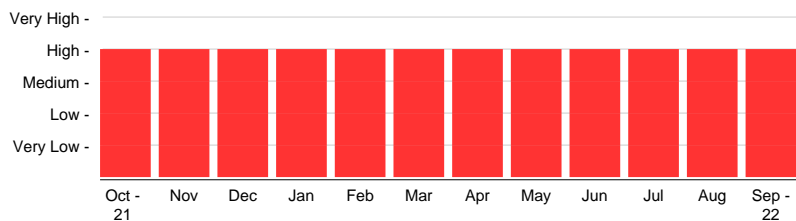
Adult Services Deprivation of Liberty (DoLO) ; Identifying those who need a DoLO, prioritising DoLO applications targeting the most risky cases, and using both internal staff and the services of an external agency to support applications being made in a timely manner, reducing the risk of unauthorised deprivations until the new legislation comes into force.

04/05/2022

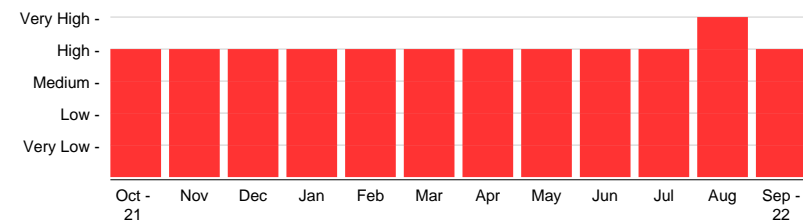
Treat

31/10/2022

Historical Impact : High



Historical Likelihood : High



Risk on a Page

Risk Title : Data Breaches of Confidentiality

Risk ID : 218

Description : If a data breach occurs then this will result in a breach of confidentiality for an individual and the ICO will have to be notified potentially incurring significant fine for the Authority.

Risk Level : Directorate

Responsible Officer : David.Howes

Councillor : Louise Gibbard

Last Update : 12/10/2022

Historical RAG :	Oct-21	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-22
	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED

Current Control Measures

If a data breach occurs then they are reported to P&FM which takes place on a monthly basis. Staff who have also completed Data Breach Training is reviewed, captured and reported to P&FM on a monthly basis. These are on going measures.

Last Update

12/10/2022

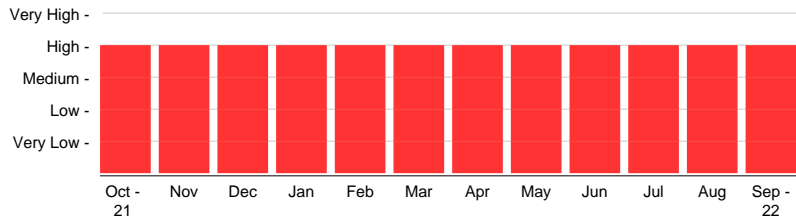
Risk Response

Treat

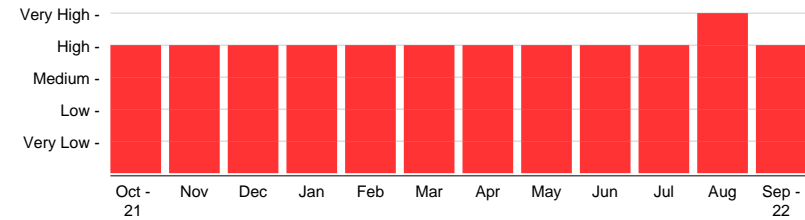
Projected Completion

31/03/2023

Historical Impact : High



Historical Likelihood : High



Risk on a Page

Risk Title : Staff Emotional Wellbeing

Risk ID : 283

Description : IF staff turnover and sickness absence does not reduce and staff continue to be required to solely work from home, THEN it is likely the mental and emotional well-being across the wider CFS and Adult Services workforce will deteriorate due to higher caseloads, staff isolation and the conflicts and pressures of trying to manage work and home demands, leading to even higher staff sickness and shortages and preventing the service from being delivered effectively.

Risk Level : Directorate

Responsible Officer : Julie.Davies10

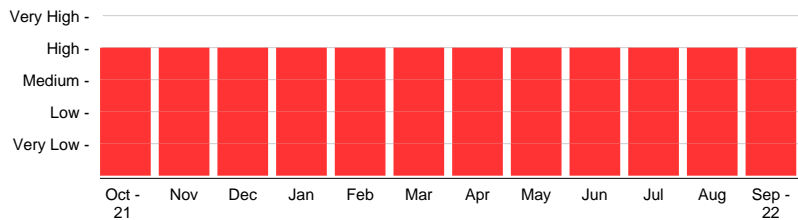
Councillor : Louise Gibbard

Last Update : 26/09/2022

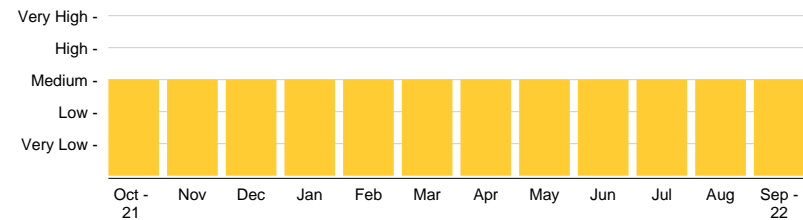
Historical RAG :	Oct-21	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-22
	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED

Current Control Measures	Last Update	Risk Response	Projected Completion
Temporary case allocation measures to manage demand and ensure children and young people are seen and safe & arranged by team managers, using agreed set of principles, as required. Arrangement to be reviewed at the end of June 2022.	26/09/2022	Treat	30/12/2022
Teams and managers are supported with regular check ins and catch ups with staff through monthly team meetings, monthly supervision sessions, via the C&FS monthly newsletter and weekly Director's briefing	26/09/2022	Treat	30/12/2022
Active promotion of the CFS Well-being Strategy; this is regularly reviewed as part of monthly sub groups This is an ongoing control measure	26/09/2022	Treat	30/12/2022

Historical Impact : High



Historical Likelihood : Medium



Risk on a Page

Risk Title : Adult Services Community Initial Assessment Team

Risk ID : 297

Description : If significant increase in numbers of referrals awaiting assessment within Adult Services Community Initial Assessment Team continue. Compounded by continued difficulties in recruitment to vacant qualified Social Work Practitioner posts, then action needs to be taken to reduce number awaiting assessment with particular focus on referrals made with no prior Social Work intervention in order to avoid escalation of need/crisis.

Risk Level : Directorate

Responsible Officer : Lucy.Friday

Councillor : Louise Gibbard

Last Update : 07/10/2022

Historical RAG :	Oct-21	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-22
	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER

Current Control Measures

Xyla have been contracted to assist with the backlog and 450 assessments have been transferred to them for processing.
 4th May 2022 - Majority of assessments completed, contract to be finished by 31st May 2022. Status of Community Initial Assessment Team waiting list to be confirmed at this point.

Last Update

04/05/2022

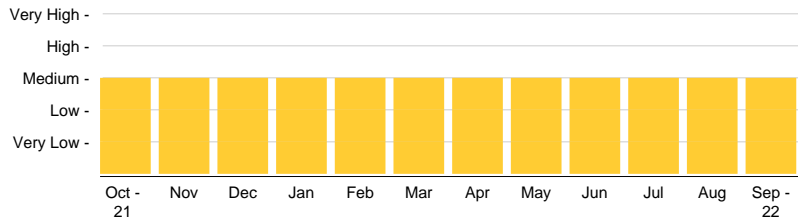
Risk Response

Treat

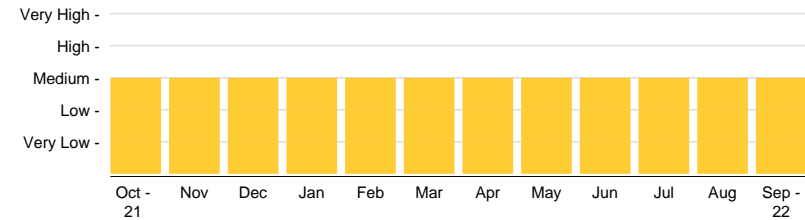
Projected Completion

31/03/2023

Historical Impact : Medium



Historical Likelihood : Medium



Risk on a Page

Risk Title : Withdrawal of EU Grant Funding in Social Services

Risk ID : 298

Description : IF grant funding ceases to flow from Welsh Government as we withdraw from the EU, THEN certain parts of the Directorate will not be able to deliver the range of services as effectively as it would like to in order to ensure service continuity and to safeguard preventative activities for the economic, social, environmental and cultural well-being of residents of Swansea

Risk Level : Directorate

Responsible Officer : David.Howes

Councillor : Louise Gibbard

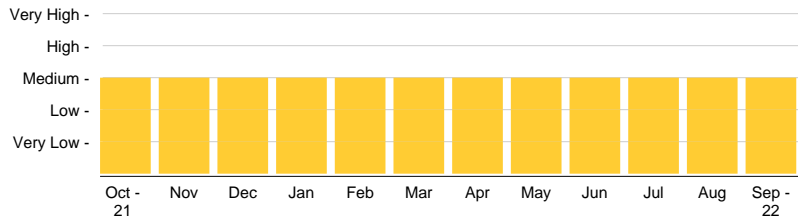
Last Update : 12/10/2022

Historical RAG :

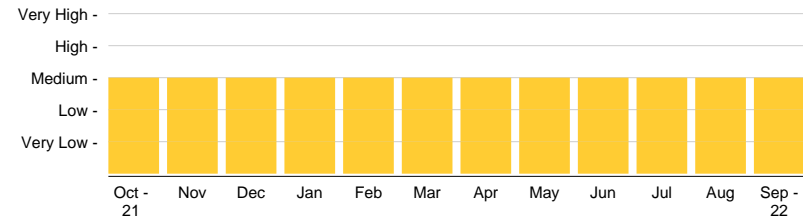
Oct-21	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-22
AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER

Current Control Measures	Last Update	Risk Response	Projected Completion
Analysis and monitoring of the range of different EU grants we receive and when they are likely to end and an exit route for these funding sources	16/09/2022	Treat	31/03/2023
Close working with EU Team to understand likelihood of changes in grant allocations and possible alternative grant funding resources available	16/09/2022	Treat	31/03/2023
Monthly discussion at Budget Service Area meetings to work through these arrangements and manage risk	16/09/2022	Treat	31/03/2023
Analysis and monitoring of impact on service delivery from across the directorate and with wider with 3rd parties also in receipt of the grant via contractual arrangements	16/09/2022	Treat	31/03/2023

Historical Impact : **Medium**



Historical Likelihood : **Medium**



Risk on a Page

Risk Title : Social Worker Vacancies

Risk ID : 305

Description : IF the social worker vacancy levels continue at the same rate or increase THEN it is highly probable that social services (adults and children's) will not be able to fulfil their statutory duties.

Risk Level : Directorate

Responsible Officer : Julie.Davies10

Councillor : Louise Gibbard

Last Update : 26/09/2022

Historical RAG :	Oct-21	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-22
	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED

Current Control Measures

Rolling vacancy adverts for social worker and senior social worker posts are currently active

Last Update

26/09/2022

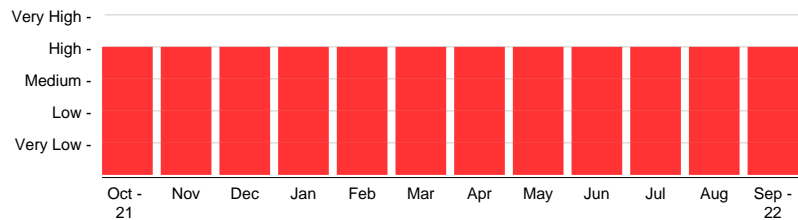
Risk Response

Treat

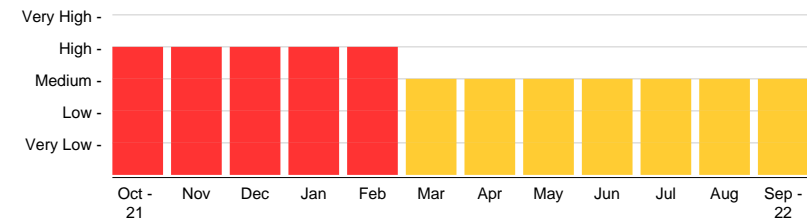
Projected Completion

31/03/2023

Historical Impact : High



Historical Likelihood : Medium



Risk on a Page

Risk Title : WCCIS

Risk ID : 306

Description : If the current instability and poor performance of the All Wales Community Care Information System is not rectified then backlogs in the recording of client contacts, assessments, case recording and plans for all individuals receiving intervention from Swansea Social Services will accrue, increasing further current pressures on frontline staff, severely limiting performance management and reporting capability and potentially compromising safe service delivery.

Risk Level : Directorate

Responsible Officer : David.Howes

Councillor : Louise Gibbard

Last Update : 12/10/2022

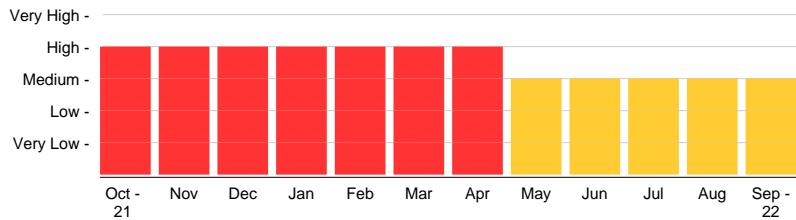


Current Control Measures

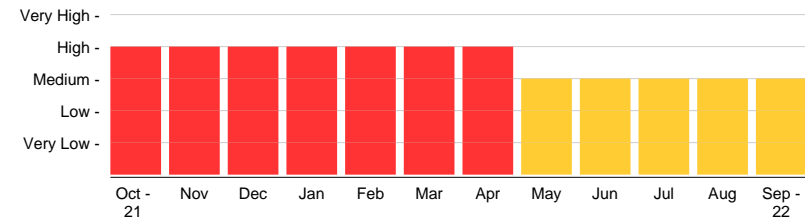
Directorate will implement the recommendations of the WCCIS review which have been endorsed by CMT over the next 6 months and then review.

Last Update	Risk Response	Projected Completion
11/05/2022	Treat	30/11/2022

Historical Impact : Medium



Historical Likelihood : Medium



Risk on a Page

Risk Title : Real Living Wage in Social Services

Risk ID : 317

Description : From April 2022, the Welsh Government require that the RLW (Real Living Wage) be paid to those who work in registered posts within Social Care. The RLW is currently £9.90 per hour and is calculated annually by the Resolution Foundation based on inflation measures that consider the minimum income standard. This standard is particularly sensitive to price rises that disproportionately effect those on low incomes such as food, utilities, and transport. Whilst it is not possible to shadow calculate the potential increase in RLW; the government's energy price cap increases by 54% in April and will increase again in October, food inflation of 4.2% is the highest rate for nine years and the latest petrol and oil indices (predating the Invasion of Ukraine) report a 24.5% annual increase.
 IF there isn't a significant easing of the above pressures, THEN this it is likely that a substantial increase in living wage will be forthcoming and there is no guarantee that Welsh Government will provide adequate funding to meet our responsibilities.

Risk Level : Directorate

Responsible Officer : Jane.Whitmore

Councillor : Louise Gibbard

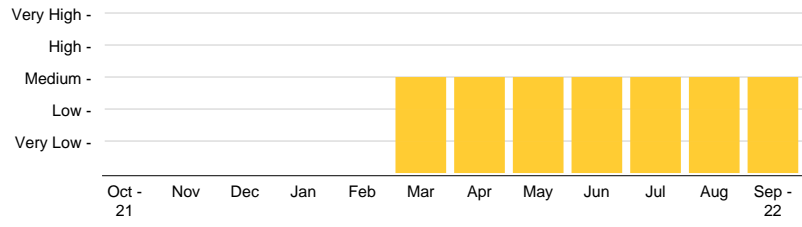
Last Update : 12/10/2022

Historical RAG :	Oct-21	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-22
						AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER

Current Control Measures	Last Update	Risk Response	Projected Completion
Continue to work with WG to highlight the long term impacts of embedding RLW to influence flow of funding to cover pressures	29/03/2022	Treat	31/03/2023
Track and monitoring the RLW calculations on the following website The Calculation Living Wage Foundation as they are published annually	29/03/2022	Treat	31/03/2023
Monitor the level of inflation to understand the likelihood of this risk being realised in line with quarterly published inflation figures.	29/03/2022	Treat	31/03/2023

Historical Impact : Medium

Historical Likelihood : Medium



Appendix A

